

5. 245 ‡c: Examples

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EXAMPLE 4. Cataloger supplied information in brackets. If the title page lacks a statement of responsibility, AACR2 requires transcription of the statement of responsibility from the book's preliminaries (cover, verso t.p. are the likely suspects). Statement of responsibility from a source other than the t.p. must be given in brackets.

RDA. As indicated in the Other Title Example 1 note, RDA generally does not allow cataloger-supplied bracketed information in transcription areas. However, if the statement of responsibility is not on the title page, RDA follows AACR2 in requiring transcription from other sources in the book; the difference is that brackets are not used if the source is not the title page. If the RDA CIP record has the statement of responsibility but it is not on the title page, you need to verify from anywhere on the book where the statement is presented formally; correct the information if necessary, but do not add brackets. A note is not made for the source of the statement.

Title page	Verso t.p.
<p>The Brothers Karamazov</p> <p>a novel in four parts with epilogue</p> <p>by Fyodor Dostoevsky</p>	<p>Translated and annotated by</p> <p>Richard Pevear</p> <p>and</p> <p>Larissa Volokhonsky</p>

AACR2:

245 1 4 ‡a The brothers Karamazov : ‡b a novel in four parts with epilogue / ‡c by Fyodor Dostoevsky ; [translated and annotated by Richard Pevear and Larissa Volokhonsky]

RDA:

245 1 4 ‡a The brothers Karamazov / ‡c a novel in four parts with epilogue, by Fyodor Dostoevsky ; translated and annotated by Richard Pevear and Larissa Volokhonsky

In RDA, only the first statement of responsibility is mandatory. In this case, however, cataloger judgment would probably include the second statement of responsibility in the transcription.

The statement of responsibility follows the title statement (title proper, subtitles, parallel titles). On Orbis, precede the statement of responsibility with a <space><slash mark>‡c. The rules require a space after the slash; Voyager will provide the space automatically and add an extra space after ‡c.

EXAMPLE 2. Title page (See Brothers Karamazov AACR2 example above).

AACR2. Transcribe title, Other Title, and statement of responsibility as:

245 1 4 ‡a The brothers Karamazov : ‡b a novel in four parts with epilogue / ‡c by Fyodor Dostoevsky ; translated and annotated by Richard Pevear and Larissa Volokhonsky

COMMENT: Different functions within the statement of responsibility are set off by <space><semicolon><space>. When reviewing CIP, make sure that all personal names that appear on the title page are in the CIP statement of responsibility, in the same order as they appear on the title page, and in the same form as they appear on the title page.

RDA. As noted in 245 ‡b Example 1 above, form statements are considered to be part of the statement of responsibility rather than Other Titles if there is a grammatical connection (in this case "by") to the responsible creator. In the absence of an ISBD slash to separate the form from the author, adding a comma would help to clarify the author's role.

245 1 4 ‡a The brothers Karamazov / ‡c a novel in four parts with epilogue, by Fyodor Dostoevsky ; translated and annotated by Richard Pevear and Larissa Volokhonsky

AACR2 transcription rules for the statement of responsibility are complex. What to transcribe (or not) in the statement of responsibility following AACR2 rules:

Transcribe?	Yes	No	Examples
Words indicating function	X		"by," "edited by," "essays by," "illustrated by," "with a foreword by"
Titles & affiliations		X	/ ‡c edited by Richard

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Transcribe?	Yes	No	Examples
(but see exceptions following)			Brodhead, Ph.D., Dean, Yale University . / ‡c John Henry Cardinal Newman.
Jr. & other filial terms (terms expressing relationship are not titles)	X		/ ‡c essays by the Reverend Martin Luther King, Jr. / ‡c edited by W.W. Bartley III .
Titles (if leaving out the title would leave only the person's given name or surname)	X		/ ‡c by Dr. Zonnya. / ‡c Mrs. Beeton.
Titles (if necessary to identify the person)	x		/ ‡c Wilfrid Philip Ward ; with an introductory study by Mrs. Wilfrid Ward. / ‡c Mrs. Josephine Mary Ward. / ‡c Dr. Ruth K. Westheimer.
Titles of nobility & British terms of honor (Sir, Dame, Lord, Lady) --even if unnecessary for identification.	x		/ ‡c caricatures and compilations by Clive Francis ; foreword by Sir Alec Guinness. / ‡c Sarah Ferguson, HRH the Duchess of York .

RDA is quite a bit simpler: transcribe whatever appears on the title page: titles of honor, professions, degrees, rank, etc. RDA has the option to abridge long statements of responsibility; LC practice does not permit abridgement, so if a name is missing from the 245 ‡c, it's a CIP oversight and needs to be fixed.

AACR2	RDA
"by," "edited by," "essays by," "illustrated by," "with a foreword by"	Same. Unlike the title & Other Title, the SoR allows for cataloger supplied information in brackets if clarification is needed.
/ ‡c edited by Richard Brodhead. / ‡c John Henry Newman.	/ ‡c edited by Richard Brodhead, Ph.D., Dean, Yale University. / ‡c John Henry Cardinal Newman.
/ ‡c essays by the Reverend Martin Luther King, Jr. / ‡c edited by W.W. Bartley III .	/ ‡c essays by the Reverend Martin Luther King, Jr. Same as AACR2
/ ‡c by Dr. Zonnya. / ‡c Mrs. Beeton.	Same as AACR2
/ ‡c Wilfrid Philip Ward ; with an introductory study by Mrs. Wilfrid Ward. / ‡c Josephine Mary Ward.	Same as AACR2 / ‡c Mrs. Josephine Mary Ward.

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AACR2	RDA
/ ‡c Ruth K. Westheimer.	/ ‡c Dr. Ruth K. Westheimer.
/ ‡c caricatures and compilations by Clive Francis ; foreword by Sir Alec Guinness.	Same as AACR2
/ ‡c Sarah Ferguson, HRH the Duchess of York .	

EXAMPLE 3. When more than three persons or corporate bodies are named in the statement of responsibility and they all perform the same function or have the same degree of responsibility, transcribe only the first of each group and indicate the omission(s) by the mark of omission (...) followed by et. al. in square brackets. (AACR2 1.1F5)

TITLE PAGE:

<p style="text-align: center;">DICKENS 1970 CENTENARY ESSAYS By Walter Allen Margaret Lane Pamela Hansford Johnson C.P. Snow Barbara Hardy Raymond Williams John Holloway Angus Wilson Edited by Michael Slater (Hon. Editor, The Dickensian)</p>	
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AACR2

245	0	0	‡a Dickens 1970 : ‡b centenary essays / ‡c by Walter Allen ... [et al.] ; _edited by Michael Slater.
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COMMENT: A book with more than 3 authors is entered under title: 245 00. Note that Michael Slater performs a different function and is therefore transcribed. Note that the title associated with Slater is not a term of honor or nobility and is not transcribed.

RDA prefers transcription of all names having the same responsibility. A distinction is made between a compilation (in this case, a collection of separate essays by different individuals) and a collaboration (shared authorship). Compilations are entered under title (this has nothing to do with the rule of 3). Collaborations are entered under the first author listed.

Note that the grammatical connection of form ("centenary essays") to the authors (because of "by") means that what was formerly an Other Title in AACR2 is now part of the statement of responsibility.

A collection or compilation; entry under title. The cataloger chose to follow AACR2 capitalization.

245	0	0	‡a Dickens 1970 / ‡c centenary essays by Walter Allen, Margaret Lane, Pamela Hansford Johnson, C.P. Snow, Barbara Hardy, John Holloway, and Angus Wilson ; _edited by Michael Slater (Hon. editor, The Dickensian).
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A collaboration (made-up); entry under the first author named.

100	1	‡a Allen, Walter Ernest, ‡d 1911-1995.
245	1	‡a Dickens / ‡c a biography by Walter Allen, Margaret Lane, Pamela Hansford Johnson, C.P. Snow, Barbara Hardy, John Holloway, and Angus Wilson.

Making added entries for the other authors is up to the cataloger. When updating an RDA CIP, make sure all of the persons named in the CIP match the book's title page; if added entries have not been made for the other author or authors, or only some of the authors, it is not necessary to make additional added entries to correspond to the statement of responsibility. Michael Slater, performing a different function in the compilation example, should get an added entry, however, but RDA does not mandate transcription of more than one statement of responsibility.

The LC cataloger cataloging in RDA can also exercise the option to transcribe only the first named author in the 245 ‡c. However, instead of et al., the phrase *[and <x> others]* will be used. If this option has been applied, do not re-edit to transcribe the other names. And, of course, if all of the names have been transcribed, don't delete them and replace with [et. al] or [and x others]. The number of "others" is written out: [and *four* others].

If the 100 name does not correspond to the first name on the list, however, consult with your supervisor; the rule requires that the first named in the list of authors will be the "main entry."

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RDA Option. Allen is still the main entry even though the author list has been abridged. All or one or some of the authors that comprise the "six others" may be used as added entries even though they were not transcribed. LC practice will be to transcribe all authors and not abridge; but BIBCO libraries are not required to follow LC practice, although it is recommended.

100	1		‡a Allen, Walter Ernest, ‡d 1911-1995.
245	1		‡a Dickens / ‡c a biography by Walter Allen [and six others].

EXAMPLE 4. Note that if "[et al.]" or "[and x others]" is the last element of 245, it is followed by a period. A period is used at the end of the last statement of responsibility, even if there is a square bracket.

AACR2:

245	0	0	‡a Accounting standards / ‡b edited by H.R.B. Oppermann ... [et al.].
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RDA:

245	0	0	‡a Accounting standards / ‡b edited by H.R.B. Oppermann [and three others].
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Although Oppermann is the first name on the list for this book, he or she's function is as editor/compiler. RDA, like AACR2, does not consider an editor to be a creator, so editors are not main entries under either set of rules.

EXAMPLE 5. In situations where there is no collective title (cf. Title proper, example #4) and the separate titles have separate statements of responsibility, the MARC21 Bibliographic Format documentation states "subfield ‡c contains all data following the first slash (/). Once a subfield ‡c has been recorded, no further subfield coding of field 245 is possible."

TITLE PAGE:

<p style="text-align: center;">THE ANALYSIS OF LAW Sir Matthew Hale THE STUDENT'S COMPANION Giles Jacob, attorney</p>			
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AACR2

100	1		‡a Hale, Matthew, ‡c Sir, ‡d 1609-1676.
240	1	0	‡a Analysis of law
245	1	4	‡a The analysis of law / ‡c Sir Matthew Hale. The student's companion / Giles Jacob.
700	1	2	‡a Jacob, Giles, ‡d 1686-1744. ‡t Student's companion.
740	0	2	‡a Student's companion.

COMMENT. Note current LC practice for providing access to the non-collective titles per LCRI 21.30J *Items without a collective title*.

RDA. What if there is no collective title and two or more works by the same author? There are a number of ways to handle the works. LC practice will be to make a conventional collective title in 240 and an author/title added entry for the first title named. Making an additional author/title for the Student's companion is optional. (*Earlier example modified to make Hale author of both works*)

100	1		‡a Hale, Matthew, ‡d 1609-1676.
240	1	0	‡a Works. ‡k Selections
245	1	4	‡a The analysis of law ; and, The student's companion / ‡c Sir Matthew Hale.
700	1	2	‡a Hale, Matthew, ‡d 1609-1676. ‡t Analysis of law.

RDA. What if there are different authors and no collective title, as in the original AACR2 example? No difference in the transcription from AACR2, but RDA uses title main entry in this situation. An author/title added entry for the first title is required; additional author/title added entries are not, though LC is likely to include them. No uniform title is made.

245	0	4	‡a The analysis of law / ‡c Sir Matthew Hale. The student's companion / Giles Jacob, attorney.
700	1	2	‡a Hale, Matthew, ‡d 1609-1676. ‡t Analysis of law. <Added entry for the first title is required.>

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700	1	2	‡a Jacob, Giles, ‡d 1686-1744. ‡t Student's companion.<OPTIONAL --LC is likely to include>
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Comment: under *former* RDA instructions, only titles of royalty or nobility can be used as qualifiers. "Sir" is not a title but a term of address, so the "Sir" in ‡c would not be part of authorized form of the name, even if the author's name conflicted with the name of another person in the catalog. *Under revised RDA instructions, terms of address are now allowed as qualifiers if needed to break a conflict.* For transcription in the statement of responsibility, the term of address would be included, however. If the name Giles Jacob, the author of the Student's Companion happened to conflict with the name of another person and Jacob's dates were not available, RDA allows qualifiers for profession: Jacob, Giles, ‡c (Attorney)--AACR2 does not.

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