

Items Requiring a New Record

Differences too significant to ignore. A new record must be created.

1. Record is for the original, item in hand is a photocopy (or any other type of reproduction, such as a reprint edition, i.e. different publisher reprinting the original using the same type face and often reproducing the original title page). Or the record is for a book and the item in hand is a CD-ROM with the complete text of the book. Or the record is for a book and the item in hand is a microfilm reproduction of the book.

2. Explicit indication of changes, e.g. 3rd printing with corrections.

3. Examples of significant edition statement variations (cited by ALCTS) likely to apply to books

- Different content: abridged, corrected, enlarged with a new introduction
- Different language: English edition
- Different audience: Teacher's edition vs. Student edition; American edition vs. British edition [not an ALCTS example]
- Different format: Library edition

4. Difference in place of publication if published in a different country. [\[2\]](#) [1](Consider differences in place in the same country to be minor, all other things being equal) [ALCTS, OCLC]

5. Different publisher, e.g. St. Martin's vs. Macmillan. [\[1\]](#) [2]Variation in choice of publishers when there are multiple publishers may require a new record; consult with supervisor. [\[2\]](#) [1]

6. Publisher name change. A publisher name change requires a new record, e.g., Harcourt, Brace to Harcourt Brace Jovanovich; or [LC/ALCTS examples] Doubleday Doran to Doubleday; or Harper & Brothers to Harper & Row; Bailey-Film Associates to BFA Educational Media. For minor variations in the publisher name see notes under [Acceptable variations. 7](#) [3]. above. When in doubt, consult with your supervisor.

[1] Example cited in *Cataloging Service Bulletin no. 86, Fall 1999*, p. 2:

"Publication, etc. Area for Books Published by St. Martin's Press.

"The Library of Congress has been asked to update its policy on the publication, distribution, etc., area for certain books published by St. Martin's Press. The title page contains the letter "M." The verso of the title page has two separate statements, "First published in Great Britain 1999 by Macmillan Press Ltd ..." and "First published in the United States of America 1999 by St. Martin's Press, Inc. ..." LC interprets the two separate statements as evidence, for cataloging purposes, that there are two separate editions for the publication, one edition for Great Britain, another for the United States. Books received through LC's Cataloging in Publication Program are assumed to be the edition for the United States. The bibliographic record for the U.S. edition contains only the publication details in the publication, distribution, etc. area that are applicable to the U.S. edition:

"260 ## \$a New York : \$b St. Martin's Press, \$c 1999."

Since SML is likely to receive the British edition, a new record should be made if the only source copy is for the American edition and the cataloger suspects that the item in hand is the British edition.

[2] **SUPERVISORS.** See AACR2 1.4D4 and LCRI 1.4D4 and note [\[1\]](#) [2]above. Summary and guidelines:

a. Ambiguous publisher symbol on t.p. and explicit publication statement for different publishers in different countries: *separate record for each country/publisher.* (Applies to the St. Martin's/Macmillan scenario above)

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b. Multiple explicit publisher statements on t.p. or t.p. substitute. **LCRI 1.4D4**: record all publishers. (LCRI is more liberal than the AACR2 rule). If there is a discrepancy, e.g., 3 publishers on t.p. of the book in hand and only one on the source copy, consider the variation acceptable as long as the first publisher named is the same. If the first publisher named differs, make a new record. When the variation is acceptable,

-If the item is being added as an additional copy, leave the bibliographic record as is.

-If it is the first copy cataloged on Orbis, *optionally* revise the bibliographic record to follow the LCRI.

c. Non-U.S. publisher on t.p. and U.S. publisher also on t.p. or on verso. **LCRI 1.4D4**: record both publishers on same record. If the U.S. publisher was left out, consider the variation acceptable. (Whether the U.S. publisher is included depends on which cataloging agency created the record.) When the variation is acceptable:

-If the item is being added as an additional copy, leave the bibliographic record as is.

-If it is the first copy cataloged on Orbis, *optionally* revise the bibliographic record to follow the LCRI.

d. **[LCRI 1.4D4]** General imprint name on t.p., and specific firms (branches of the general firm name in different countries where the general name is included in the branch name) are also listed (following the general imprint or on the t.p. verso). Record the place of first specific firm and the general firm name, but if the first place is non-U.S. and one of the following specific firms is U.S., record the U.S. place as the 2nd place.

If the first place on the source copy differs from the first place listed on the book when the place on the book is in a different country, make a new record (assume it's published in a different country). If the first place listed is in the same country, the variation is acceptable (assume it's a later printing). If the U.S. place should also be included but was not included on the source copy, the variation is acceptable. (Whether the U.S. publisher is included depends on which cataloging agency created the record.) When the variation is acceptable:

-If the item is being added as an additional copy, leave the bibliographic record as is.

-If it is the first copy cataloged on Orbis, *optionally* revise the bibliographic record to follow the LCRI.

e. **[LCRI 1.4D4]** Specific firm on t.p. and associated companies or parent company are listed elsewhere (the opposite of d. above): just record the specific firm. If the associated companies are also recorded, consider an acceptable variation.

-If the item is being added as an additional copy, leave the bibliographic record as is.

-If it is the first copy cataloged on Orbis, *optionally* revise the bibliographic record to follow the LCRI.

Source URL: <https://web.library.yale.edu/cataloging/workflow/added-copy-or-new-record-books/new-records>

Links

[1] <http://web.library.yale.edu/cataloging/workflow/added-copy-or-new-record-books/new-records#2>. Supervisor [2] <http://web.library.yale.edu/cataloging/workflow/added-copy-or-new-record-books/new-records#1.Example> [3] <https://web.library.yale.edu/cataloging/workflow/added-copy-or-new-record-books>