An 1XX field is required if a creator of the collection can be identified. For intentionally-assembled collections created by a YUL repository, use the repository name as 110, if possible.

Records with no 1xx and at least one 7xx: as of 2018 December, Archives at Yale will display the first 7xx as the primary creator. To ensure correct display, enter a 1xx.

See also Single Manuscript Instructions [1]
See also 1XX: Additional Guidelines: Beinecke [2]

100 (Main Entry - Personal Name)

**Repeatable:** no  
**Indexed:** Keyword  
**Additional Public Indexes:** Author  
**Additional Staff Indexes:** Staff Name Headings or Staff Name/Title Headings  
**Orbis Public Display Constant:** Author/Creator  
**Punctuation:** Ends with a mark of punctuation or a closing parenthesis. If final subfields are subfield §2, §3, §4, or §5 a mark of punctuation precedes those subfields

**Indicators:**  
1st  
0 Forename  
1 Surname  
3 Family name  
2nd  
none

**Subfields:**  
‡a Personal name  
‡b Numeration  
‡c Titles and other words associated with a name  
‡d Dates associated with a name  
‡e Relator term  
‡q Fuller form of name  
‡0 Authority record control number or standard number (see Exporting Records [4]/MARS Authority Processing)

**Instructions:**

See also:
Use for a personal name of the creator of the collection. Search Orbis and the Library of Congress authority file for an authority record, and use this form if one exists. If no authority record exists, create a heading in accordance with RDA rules and LC interpretations. Consult a NACO cataloger in your unit and the Authority Control at Yale website for guidelines on when a new authority record should be created.

### RDA rules and LC interpretations:

#### Personal names

‡d Dates:

Birth date: required if known.  
Only birthday known, or still living: ‡d [yyyy]-

Death date: required if applicable and known.  
Only death date known: ‡d [yyyy]

To break a conflict, use the following standard terms as appropriate, for any century, spelling out all terms:

- [yyyy] [Month] [dd]  
- approximately [yyyy]  
- active [yyyy]  
- active approximately [yyyy]

‡q Fuller form of name: required only to break a conflict not resolved by dates

‡c Titles and other words associated with a name

Required if applicable and known: Jr., Sr.; titles for saints, religious office, nobility  
Use of terms of honor, such as Sir, Dame, Esq.: will be required if applicable, pending addition to RDA, projected for 2013 April.

To break a conflict not resolved by dates or titles, use a profession or occupation, capitalized and in parentheses:  
‡c ([Occupation])

‡e Relator term

Optionally use the term "collector" to identify a person who assembled a collection but did not create the materials in it:

‡a [Name], ‡d [yyyy]-[yyyy], ‡e collector.

As of 2013 January, some LCAF records reflect interim RDA decisions, such as use of born/died instead of hyphens. Use these LCAF records as is.

#### Family names

Create a heading following RDA guidance for family name and qualifiers:

‡a Name

Type of family: required, using one of the following terms: Family, Dynasty, Royal house, Clan  
Use standard form: ‡a [Name] ([Type])

‡c, ‡g, ‡d Qualifiers

Use as appropriate to break conflicts:

‡a [Name] (Family: ‡c [Place])  
‡a [Name] (Family: ‡g [Name of prominent member of family], [yyyy-yyyy])
Examples:
100 1_ ‡a Comfort, Aaron Ivins, ‡d 1827-1915.
100 1_ ‡a Austin, Stephen F. ‡q (Stephen Fuller), ‡d 1793-1836.
100 1_ ‡a Barbé-Marbois, François, ‡c marquis de, ‡d 1745-1837.
100 0_ ‡a Victoria, ‡c Queen of Great Britain, ‡d 1819-1901.
100 1_ ‡a Spender, Stephen, ‡d 1909-
100 1_ ‡a Smith, John, ‡d -1773.
100 1_ ‡a Smith, John, ‡d active 1719–1758.
100 1_ ‡a Smith, John, ‡d active approximately 1700-1720.
100 1_ ‡a Smith, John, ‡d 1719-approximately 1758.
100 1_ ‡a Frazer, John ‡c (Architect)
100 1_ ‡a Morgan, John Pierpont, ‡d 1837-1913, ‡e collector.
100 3_ ‡a Gregory (Family)
100 3_ ‡a James (Family : ‡c Summerton, S.C.)
100 3_ ‡a Peale (Family : ‡g Peale, Charles Willson, 1741–1827)
100 3_ ‡a Medici (Royal house : ‡g Medici, Lorenzo de', 1449–1492)

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at Yale [6] website for guidelines on when a new authority record should be created.

**RDA rules and LC interpretations:**

‡b Subordinate unit: spell out Department

**Examples:**

110 2_ ‡a Hudson's Bay Company.
110 1_ ‡a California. ‡b Governor (1975-1983 : Brown)
110 2_ ‡a Beinecke Rare Book and Manuscript Library.
110 2_ ‡a Yale University. ‡b Library.
110 1_ ‡a South Dakota. Department of Public Safety (1973–1984)

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### 111 (Main Entry - Meeting Name)

**Repeatable:** no  
**Indexed:** Keyword  
**Additional Public Indexes:** Author  
**Additional Staff Indexes:** Staff Name Headings or Staff Name/Title Headings  
**Orbis Public Display Constant:** Author/Creator  
**Punctuation:** Ends with a mark of punctuation or a closing parenthesis. If final subfields are subfield ‡2, ‡3, ‡4, or ‡5 a mark of punctuation precedes those subfields  

**Indicators:**

1st  
0 Inverted name  
1 Jurisdiction name  
2 Name in direct order  
2nd

**Subfields:**

‡a Meeting name or jurisdiction name as entry element  
‡c Location of meeting  
‡d Date of meeting  
‡e Subordinate unit  
‡0 Authority record control number or standard number (see Exporting Records [4]/MARS Authority Processing)

**Instructions:**

Use for the name of a conference or meeting name that created the collection. Search Orbis and the Library of Congress authority file for an authority record, and use this form if one exists. If no authority record exists, create a heading in accordance with RDA rules and LC interpretations. Consult a NACO cataloger in your unit and the Authority Control at Yale [6] website for guidelines on when a new authority record should be created.

**RDA rules and LC interpretations:**

‡a Name: if needed for clarity, add: (Conference)

‡d Date: frequency (such as Annual or Biannual) is required if applicable

**Examples:**

111 2_ ‡a Panama-Pacific International Exposition ‡d (1915 : ‡c San Francisco, Calif.)
1xx

Published on Yale University Library (https://web.library.yale.edu)

111 2_ ‡a BTR (Conference) (2nd : 2002 : University of New Mexico)
111 2_ ‡a International Whaling Commission. ‡b Annual Meeting.

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130 (Main Entry - Uniform Title)

Do not use for collections. For records of a serial publication use 110 for the publisher name. If the publisher name is not known, do not use 1xx, or optionally use the publication title as a corporate name in 110.

See also:
130: Single Manuscript [3]
130: Additional Guidelines: Beinecke [2]

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Source URL: https://web.library.yale.edu/cataloging/manuscript/1xx

Links
[1] http://web.library.yale.edu/cataloging/manuscript/appendix-single-manuscript-instructions#1xx
[3] http://web.library.yale.edu/cataloging/manuscript/appendix-single-manuscript-instructions#130
[5] https://collaborate.library.yale.edu/BeineckeLibrary/MsUnit/CatMan/Wiki%20Pages/1xx.doc.aspx#section1xx1.0
[7] http://web.library.yale.edu/cataloging/manuscript
[8] http://web.library.yale.edu/cataloging/manuscript/appendix-single-manuscript-instructions