Appendix B: Preliminary Records

See also:
Additional Guidelines: Beinecke [1]

General Information

Preliminary records are created for collections which will not be processed immediately. These can be closed collections, in which all materials have been received, or ongoing collections, in which more materials will be received in the future. Preliminary records consist of a bibliographic record, one or more mfhd records, and, if applicable, one or more item records. Features of a preliminary bibliographic record are:

Contains at least the following fields: Leader [2], 008 [3], 040 [4], 1XX [5] (if applicable), 245 [6], 300 [7], brief 520 [8], 555 [9] (if applicable), at least one 6XX [10], and 852 [11].

Leader/Encoding level [12]: 7 (Minimal level processing).

Date span: for unprocessed collection of personal papers, if undetermined, may be given as "ca. [birth date]-[death date]" in 245 ‡f [6]. Date span of an ongoing collection may be given as "[ongoing]" in 245 ‡f, with "9999" in the 008 Date 2 [13], or as an estimated closing date in 245 ‡f and 008 Date 2.

300 [7]: contains estimated linear footage. For an ongoing collection, may be given as "ms."

520 [8]: contains a general description of the types of material in the collection.

555 [9]: notes available access tools, for example "Unprocessed collection. Preliminary finding aid available. For further information, consult the Public Service Desk."

Optional fields:

041 [14] and 546 [15]: note languages represented.

506 [16]: notes any restriction.

Preliminary records can be exported [17] to OCLC and MARS.

Examples

Example Fields, Closed Collection:

Leader:
...
Encoding Level: 7
...
008:
...
Publication Status: i
Date 1 1926
Date 2 1988
...
Language: eng: English
Appendix B: Preliminary Records
Published on Yale University Library (https://web.library.yale.edu)

Variable Fields:
040 __ ‡a CtY-BR ‡c CtY-BR ‡e dacs
100 1_ ‡a Lees-Milne, James.
245 10 ‡a James Lees-Milne papers, ‡f ca. 1926-1988.
300 __ ‡a 7.25 ‡f linear feet
506 __ ‡a Diaries restricted. For further information consult the appropriate curator.
520 __ ‡a Correspondence, photographs, printed material, writings, diaries.
555 __ ‡a Unprocessed collection. Preliminary finding aid available. For further information, consult the Public Service Desk.
600 10 ‡a Lees-Milne, James.
852 __ ‡a Beinecke Rare Book and Manuscript Library, Yale University, New Haven, CT

Mfhd:
852 70 ‡b beingen ‡h Uncat MSS ‡i 620

Example Fields, Ongoing Collection

Leader:
... Encoding Level: 7 ...

008:
... Publication Status: i Date 1 1917 Date 2 1999 ...

Language: eng: English ...

Variable Fields:
040 __ ‡a CtY-BR ‡c CtY-BR ‡e dacs
100 1_ ‡a Lord, James
245 10 ‡a James Lord papers, ‡f 1917-[ongoing]
300 __ ‡a ms.
520 __ ‡a Writings, correspondence, research notes, artwork, audio tapes, printed material and photographs.
555 __ ‡a Unprocessed collection. For further information, consult the Public Service Desk.
600 10 ‡a Lord, James.
852 __ ‡a Beinecke Rare Book and Manuscript Library, Yale University, New Haven, CT

Mfhd:
852 70 ‡b beingen ‡h Uncat MSS ‡i 621

Return to:
Appendix B: Preliminary Records top
Manuscript [18] Cataloging Guidelines [18]
Appendix A: Single Manuscript Instructions [19]
Beinecke Manuscript Cataloging Manual [20]

Source URL:https://web.library.yale.edu/cataloging/manuscript/appendix-b-preliminary-records

Links
[1] https://msu-cataloging-manual.beinecke.library.yale.edu/appendices#Appendix%20B
[3] http://web.library.yale.edu/cataloging/manuscript/variable-control-fields#008
[4] http://web.library.yale.edu/cataloging/manuscript/0xx#040
[5] http://web.library.yale.edu/cataloging/manuscript/1xx#1xx
[6] http://web.library.yale.edu/cataloging/manuscript/2xx#245
[7] http://web.library.yale.edu/cataloging/manuscript/3xx#300

Page 2 of 3
http://web.library.yale.edu/cataloging/manuscript/5xx#555 [10]
http://web.library.yale.edu/cataloging/manuscript/6xx#6xx [11]
http://web.library.yale.edu/cataloging/manuscript/8xx#852 [12]
http://web.library.yale.edu/cataloging/manuscript/variable-control-fields#encoding_level [13]
http://web.library.yale.edu/cataloging/manuscript/variable-control-fields#date_1_and_date_2 [14]
http://web.library.yale.edu/cataloging/manuscript/0xx#041 [15]
http://web.library.yale.edu/cataloging/manuscript/5xx#546 [16]
http://web.library.yale.edu/cataloging/manuscript/5xx#506 [17]
http://web.library.yale.edu/cataloging/manuscript/bibliographic-record#exporting_records [18]