#### **OVERVIEW**

Purpose: promote consistency in recording serial holdings by following the national standards.

Yale generally follows Level 4 (detailed holdings) of the current national standard for recording serial holdings: **ANSI/NISO Z39.71-1999. Holdings Statements for Bibliographic Items**. (Yale deviates from the current standard when recording some serial recon holdings.) The current standard supersedes: ANSI Z39.44-1986. Serial Holdings Statements.

Yale uses the MARC 21 Format for Holdings Data to store serial holdings records in machine readable form. At this time, Yale uses the MARC fields for textual holdings: 866, 867, 868. The MARC 21 standard supersedes: USMARC Format for Holdings Data, 1989.

### **Key Definitions**

**Adjacent display**. Holdings display in which each piece of enumeration is followed immediately by the corresponding chronology. Yale uses adjacent display in its holdings display.

**Alternative enumeration.** Additional, secondary enumeration assigned to some multipart units or serial units. Note: alternative enumeration provides a continuously numbered sequence in addition to hierarchichal designations. For example, "v.3:pt.1=fasc.7" reflects a primary enumeration of volumes and parts, and an alternative enumeration of fascicles.>

**Basic bibliographic units.** Primary bibliographic unit for which holdings are being recorded. Examples of basic bibliographic units are a book, a multivolume encyclopedia, a computer file, a map, a score, a set of orchestral parts, and a publication with separately titled constituent parts. A bibliographic entity composed of several bibliographic units where one does not predominate is considered to have multiple basic bibliographic units; for example, a multimedia kit or a musical score and parts.

**Bibliographic unit.** Discrete bibliographic entity that constitutes either the whole or a art of the bibliographic item. Note: a bibliographic unit may be a basic bibliographic unit, or a secondary bibliographic unit; it may be a single-part unit, a multipart unit, or a serial unit. A bibliographic unit may or may not correspond to a physical unit.

**Caption.** An alphabetic word or phrase attached as a prefix to the enumeration data that describes the type of data (for example, volume, Band, Heft, part, number, or tome).

**Chronology.** The different types of dates used by the publisher on the work to identify the individual bibliographic unit of a serial (for example, date of coverage, date of publication, date of printing, or date of reprinting).

**Combined numbering**. The use of a combined numbering scheme when the publisher has chosen to publish two or more parts as one, for example, "number 3/4."

**Compress.** To record a range of holdings in terms of the enumeration and/or chronology of only the first and last parts held. Yale policy is that data elements may be compressed only if there is not a gap in the level or levels to be compressed.

**Detailed holdings statement**. Set of data elements required for Level 4 holdings to identify and record, at the most specific level of information, the parts of a bibliographic unit held by a particular institution. Yale generally applies when recording receipts and incomplete holdings, or for the holdings of some rare or special collection serials. Cf. Mixed; Summary holdings statements.

**Enumeration.** Data element in the Extent or Holdings Area that indicates the sequential numeric and/or alphabetic designation used by the publisher on a multipart or serial unit to identify the individual bibliographic or physical parts and to show the relationship of each part to the bibliographic unit as a whole.

**Gap.** A break or discontinuity in the holdings of the published parts of the serial held. The term does not refer to a break or discontinuity in the publication pattern of the serial.

**Index**. For the purposes of the ANSI/NISO standard, a separately described index (one having its own bibliographic record) is a basic bibliographic unit; an index described in the record for the main work that is not enumerated as part of the basic bibliographic unit is considered a secondary bibliographic unit.

Lacking. Not held. At Yale, used only in some recon holdings.

**Mixed holdings statement**. Holdings statement with a combination of summary and detailed levels of enumeration and/or chronology data.

**Non-gap break.** Break between the recorded parts of a multipart unit or serial unit caused by unpublished parts or discontinuity in the publisher's sequential designations.

**Secondary bibliographic unit**. Discrete bibliographic unit that is supplementary or complementary to a basic bibliographic unit or to another secondary bibliographic unit. Examples: map in pocket, set of updates to a loose-leaf, answer book accompanying a text, pamphlet accompanying an audiodisc.

**Summary holdings statement**. Holdings statement at the first (highest) level of enumeration and/or chronology. Yale policy is that data elements are recorded as summary holdings statements only if there is not a gap at any of the specific levels of the hierarchy. Where gaps occur, a mixed holdings statement is used. Cf. Detailed; Mixed holdings statements.

**Supplement**. Publication closely connected in subject matter to the basic bibliographic unit, issued by the same publisher, secondary to but separate from the basic bibliographic unit. A supplement having its own bibliographic description is considered a basic bibliographic unit and not treated as a supplement in the ANSI/NISO standard. A supplement included in the bibliographic description of the main work (basic bibliographic unit) is considered a secondary bibliographic unit so long as it is not enumerated as part of the main work, in which case it is considered a part of the basic bibliographic unit.

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### **Voyager MFHD**

Yale uses the Voyager MFHD to record holdings for serials. The Voyager MFHD stores data according to the MARC 21 Format for Holdings standard.

At this time, Yale uses MARC 21 field 866 (Textual holdings-Basic Bibliographic Unit) to record **Basic Bibliographic Units** for serials rather than fields 853-855 (Captions and Pattern) and 863-865 (Enumeration and Chronology).

	21							
866	4	1	#8 0 ‡a <text> ‡x <text> ‡z<text></text></text></text>					

For **Supplementary Material**, Yale uses MARC 21 field 867 (Textual holdings-Supplementary Material) rather than fields 854 (Captions and Patterns-Supplementary Material) and 864 (Enumeration and Chronology-Supplementary Material). The label SUPPLEMENTS is generated by the Voyager software in the webpac; the text "Supplement(s)" should not be entered in subfield a.

867	4	1	‡8 0 ‡a <text> ‡x <text> ‡z<text></text></text></text>

For **Indexes**, Yale uses MARC 21 field 868 (Textual holdings-Indexes) rather than fields 855 (Captions and Pattern-Indexes) and 865 (Enumeration and Chronology-Indexes). The label INDEXES is generated by the Voyager

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software in the webpac; the text "Index(es)" should not be entered in subfield a.

			,	
868	4	1	#8 0 #a <text> #x <text> #z<text></text></text></text>	Ī

Enumeration data is recorded first; corresponding chronology follows immediately and is enclosed in parentheses. When a field is repeated, enumeration and chronology data are recorded from lowest enumeration data to highest, earliest data to latest.

#### **EXAMPLE**:

Published run: v. 1, no. 1-12 Jan.-Dec. 1960

Library holds: v. 1, no. 1-3, 5-8 Jan.-Mar., May-Aug. 1960

#### Record as:

866	4	1	‡8 0 ‡a v.1:no.1(1960:Jan.)-v.1:no.3(1960:Mar.),
866	4	1	‡8 0 ‡a v.1:no.5(1960:May)-v.1:no.8(1960:Aug.)

Details on indicators, punctuation, and compression are in subsequent sections.

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### Indicator and Subfield values for Fields 866/867/868

Indicator 1	4 = ANSI Level 4 (see 1. Levels of Specificity in the next section). Value 4 is MANDATORY
Indicator 2	1 = Follows Z39.71 standard described in this document. Value 1 is MANDATORY.
‡8 0	Linkage field. May be used to facilitate arrangement of holdings fields if in the future, the MFHD
	includes both text and coded fields. Linkage field is MANDATORY.
‡a	Textual holdings. MANDATORY.
‡x	Staff note (does not display in Web Voyage)
† <b>z</b>	Public note (displays in Web Voyage)

Note that, for the time being, Yale procedure is to record current serial issue receipts in field 866. First and second indicator values for serial issue receipts should both be BLANK. Blank indicators will cause the current receipts to display in Web Voyage under the label **Current Issues**. (Values entered in the first and second indicators will cause the permanent holdings to display in Web Voyage under the label **Library has**.)

Volume holdings in 866 are transcribed following the American National Standard (ANSI)/NISO (National Information Standards Organization) **Holdings Statements for Bibliographic Items** standards (ANSI/NISO Z39.71-1999). Yale decisions on ANSI options as they apply to serials are recorded in this document.

For fixed field policies, see MFHD Policies & Procedures [1], 2.1-2.3

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## **General Yale MFHD Policy for Serials (Including series)**

- The MFHD is the record of permanent serial holdings. INTERIM POLICY: Until automated serial checkin is implemented, the MFHD will also be used to record issue receipts.
- The MFHD is used with each serial record, even if holdings are complete in one issue or the bibliographic record is closed and holdings are complete.

EXCEPTION. At SML, the MFHD is NOT attached to the serial records for monograph series received on standing order when the record is used solely as a receipt record (i.e., is suppressed from public display in the webpac). Serial records without MFHDS are used for standing orders of monographic series that are classed separately. Note that cs sso receipts are recorded in the Acquisitions module only. (Some dept. libraries record cs sso's in 866, e.g. Divinity, and suppress the MFHD.)

TIP: To view cs sso receipts, open the Acquisitions Module. Select Check-in; then click on the Serials History icon. Use the radio buttons to select either the check in title or the ISSN and enter checkin title or ISSN in the Search for slot and click Search. Or for more familiar search parameters, click the Other Search button to open the familiar Cataloging Module search window and search. When the search is executed, the Order record title line should appear in the Select the Correct Order Record box. Double click on it to open the Search History Details window for the complete listing. EXAMPLE: Historische Mitteilungen Beiheft. Note that clicking on View Line Item in Acquisitions from the Record menu in the Cataloging Module will not retrieve the monographic series Search History Details window.

NEW: The bibliographic record for a *classed together* monographic series should display in the webpac and holdings should be recorded in the MFHD. If you notice that the record has been suppressed, be sure to uncheck the Suppress option under the System tab on both the bibliographic and MFHD records. Make sure that the staff note anlyz has been entered in 852 ‡x. The bibliographic record should be updated to full level if necessary. Do not follow this procedure for classed separately titles.

Effective Date: February 4, 2014

# **Levels of Specificity**

At Yale, ANSI Level 4 is used.

"Level 4 provides detailed extent of holdings information. The detailed holdings statement may be either itemized or compressed; the detailed holdings statement may be either open or closed. ... If enumeration [is] applicable, the most specific levels (including all hierarchical levels) must be included."

YUL holdings statements should always be closed. EXCEPTION: remote electronic resources use open statements when holdings are created locally. (Some vendor supplied records for electronic resources will not have serial holdings in 866)

Yale does not use ANSI Level 1 ("no indication of extent of holdings"), Level 2 ("general guidance as to the extent of the institutions' holdings") or Level 3 ("summary extent of holdings"). Note that Level 3 is understood to utilize the summary statement even when there are gaps. Cf. the examples for values 3 and 4 in MARC 21 Format for Holdings Data. Yale policy is always to indicate gaps, so to avoid ambiguity, never use first indicator 3 in 866.

Although Yale uses Level 4, the summary holdings statement is used to record holdings when there are no gaps within a range. When gaps occur, a mixed holdings statement is used, combining detailed and summary holdings statements.

The current standard is intended to allow institutions to record holdings with a combination of level 3 and level 4, allowing the institution to record some Extent of Holdings areas at the summary level, while others are recorded at the detailed level, within the same holdings statement. To simplify processing and to make clear that Yale summary statements never ignore gaps, code first indicator as 4 for all holdings statements, even when a non-gap summary statement is recorded.

For detailed scope notes on Levels 1-4, see ANSI/NISO Z39.71-1999 p. 15.

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# **Basic Principle for Recording Extent of Holdings**

"When the extent of holdings is explicitly recorded, this standard requires the recording of those pieces held rather than of those pieces not held." (ANSI/NISO Z39.71-1999, p. 2, 1.2.4. Pieces Held) See also p. 26: "Record and display data in a positive sense; that is, emphasize that which is held rather than that which is not." Gaps shall be

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indicated and are determined by the absence of any bibliographic unit at any level of order designators (first order or any subsequent order.).

EXCEPTION. RECON ONLY. For subsequent orders of designation, incomplete volumes detailing issues missing or issues held are recorded separately. (See example at 14. below [2].)

Extent of holdings must be based on examination of the physical items. If the physical items cannot be examined, the Recon technique must be used.

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### Punctuation, etc.

Use prescribed punctuation when recording holdings.

ANSI STANDARDS summary for punctuation and display as applied to serials (incorporating Yale option applications and modifications):

- Angle brackets. "Encloses the Specific Extent Note. The opening angle bracket is always preceded by a blank; the closing angle bracket is always followed by a blank." (See Microform and Accompanying Material, 14d.)
  - <sound disc>
  - <Water-damaged>
- Blank space. "Indicates separation of data elements within an area." For our holdings, blank is used in chronology data to separate a month from a day if the month is not abbreviated.
   (1988:June 12)
   (1988:Aug.15)

NO BLANK SPACE before or after punctuation (except: square and angle brackets)

3. **Colon** (:) "Separates two orders or levels of hierarchical bibliographic units." The hierarchy order should be from higher to lower. Blanks are not used before or after. Note in the second example that the series level is considered the first level of enumeration and is separated from the second level with a colon. v.1:no.1:pt.1

new ser.:v.1

- Comma (,) "Indicates a gap in a range of holdings. Blanks are not used before or after the comma." 1942-1945, 1953-1955
- Equal sign (=) "Separates alternative numbering schemes. Blanks are not used before or after the equal sign." See also 11j. ALTERNATIVE NUMBERING SCHEMES. v.2:no.5(1950)=no.11
- Forward slash (diagonal) (/) "Indicates combined numbering, combined chronology or non-calendar year chronology data. Blanks are not used before or after the diagonal." v.1/5(1960/1965)

7. **Hyphen** (-) "Indicates an unbroken range of holdings or open holdings. Blanks are not used before or after the hyphen."

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v.1(1953)-v.5(1957)
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- 8. **Parentheses** "separate enumeration and chronology when the data are recorded together. Parentheses are neither preceded nor followed by a blank." v.1(1950)
- 9. **Plus sign (+).** "Separates a basic bibliographic unit from a subsequent one or from a secondary bibliographic unit. Blanks are used before and after a plus sign." See example under Quotation marks.
- 10. Question mark "indicates unknown digit(s) of a date when either the decade and/or year are not known." No blank before; follow closing question mark with a blank unless used in conjunction with other punctuation that forbids blank before/after, e.g. parentheses.

```
195?-197?
```

18??

BUT:

v.1(19??)-v.8(195?)

- 11. **Quotation marks**. "Encloses the name of a Unit." Precede and follow with blank space. See also example under Microform & Accompanying Material 14d.
  - + "Cases" v.1(2000)-v.2(2001) <bound>
- Semicolon (;) "indicates a non-gap break," i.e., when an item is not published or a change in numbering occurs. Blanks are not used before or after. Use a line break following the semicolon for clarity. v.1(2000)-v.4(2004); v.6(2006)

```
v.1(1900)-v.4(1904);
new ser.:1(1905)-new ser.:12(1917);
new ser.2:v.1(1918)-new ser.2:v.10(1928)
```

- 13. **Square brackets** "enclose supplied enumeration or chronology data." Blank space before and after square brackets, unless used in conjunction with other punctuation that forbids blank before/after, e.g. equal sign, comma, parentheses. See Section 11, example 11g. [Bd.1](2002)
- 14. Caption is transcribed consistently before each appropriate enumeration. (YUL)
- 15. New line (i.e. new 866/867/868) for gap or change in enumeration (YUL)

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### Compression

Holdings are compressed whenever possible. A hyphen is used to indicate compression.

OPTIONALLY, cataloger may decide not to compress in order to bring out issue details. This is more likely to occur with Beinecke and other special collections.

If there are no gaps between recorded pieces at the lowest hierarchical level, holdings are compressed in 86x. Compression may occur even if there are nongap breaks

Non-compressed:

866	4	1	‡8 0 ‡a v.1:no.1(1976:Jan.)			
866	4	1	‡8 0 ‡a v.1:no.2(1976:Feb.)			
866	4	1	#8 0 ‡a v.1:no.3(1976:Mar.)			
866	4	1	#8 0 ‡a v.1:no.4(1976:Apr.),			
866	4	1	‡8 0 ‡a v.1:no.6(1976:June),			
866	4	1	#8 0 ‡a v.1:no.8(1976:Aug.)			
866	4	1	#8 0 ‡a v.1:no.9(1976:Sept.)			
866	4	1	‡8 0 ‡a v.1:no.10(1976:Oct.)			

Compressed form:

		_		
866	4	1	#8 0 #a v.1:no.1(1976:Jan.)-v.1:no.4(1976:Apr.),	i
				l
866	4	1	‡8 0 ‡a v.1:no.6(1976:June),	
000	Ι'	Ι'	40 0 44 VIIII0.0(1070.04110);	1
				i
866	и	1	#8 0 #a v.1:no.8(1976:Aug.)-v.1:no.10(1976:Oct.)	
000		!	#8 0 #8 v.1:no.6(1976.Adg.)-v.1:no.16(1976.Oct.)	i
	<del></del>	1 11	#0 0 #4 V.T.110.0(1070.7049.) V.T.110.10(1070.000.)	_

If within a level there are no gaps, generally eliminate any unnecessary, subordinate levels of enumeration. Use judgment in eliminating subordinate levels of detail if to do so would cause an unacceptable level of ambiguity.

#### **EXAMPLE**:

Compressed form with subordinate levels recorded:

866	4	1	‡8 0 ‡a v.1:no.1(1976:Jan.)-v.1:no.12(1976:Dec.)			
With subor	With subordinate levels eliminated:					
866	4	1	‡8 0 ‡a v.1(1976)			

"If it is necessary to record both first-level and subsequent level enumeration in the holdings statement, repeat all levels of enumeration at the beginning and end of each range held." (ANSI p. 29) In the following example, a gap occurs in the subsequent enumeration level of v. 2, so both ends of the range in the first 866 repeat all levels of enumeration, even though v.1 is complete. There are no gaps in volumes 3, 4, and 5, so the subsequent levels of enumeration are eliminated when the compressed form is recorded as a summary statement.

866	4	1	‡8 0 ‡a v.1:no.1(1976:winter)-v.2:no.3(1976:fall),
866	4	1	#8 0 ‡a v.3(1978)-v.5(1980)

"For both numbered and unnumbered first-level series designators, record the series level as the first level of enumeration. When the first level is a series, always record the second-level enumeration along with the first level." Note that the first level is separated from the second level with a colon. See also the example under 11i.

866 4	1	‡8 0 ‡a new ser.:v.1(1999)
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Although the standard now permits open-ended holdings statements, Yale policy is not to use such statements with the exception of e-journals.

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# **Enumeration and Chronology**

When both enumeration and chronology are present, both should be recorded and supplied. Enumeration and

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chronology may be displayed separately or adjacent to each other. Yale displays enumeration and chronology adjacently.

If both enumeration and chronology data are recorded adjacently, the chronology data is recorded in parentheses. If the item does not carry numeric designation and chronological designation is used (e.g., some annual publications), parentheses are not used with the chronology data.

866 4 1 ‡8 0 ‡a 1999:Dez.-2000:Mai

Enumeration and chronology is recorded from lowest enumeration data to highest; earliest date to latest.

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# Compression, Enumeration & Chronology: Addenda

1. Alternate expression for ranges where subsequent levels of enumeration are used for the issues.

From Compression (ANSI 5.5.1.2) and Levels of enumeration (ANSI 5.5.4.1):

"If it is necessary to record both first-level and subsequent level enumeration in the holdings statement, repeat all levels of enumeration at the beginning and end of each range held." (ANSI p. 29) In the following example, a gap occurs in the subsequent enumeration level of v. 2, so both ends of the range in the first 866 repeat all levels of enumeration, even though v.1 is complete. There are no gaps in volumes 3, 4, and 5, so the subsequent levels of enumeration are eliminated when the compressed form is recorded as a summary statement.

866	4	1	‡8 0 ‡a v.1:no.1(1976:winter)-v.2:no.3(1976:summer),
866	4	1	‡8 0 ‡a
			v.3(1978)-v.5(1980)

Comment: If the volumes were recorded originally without subsequent levels of enumeration (or chronology), or if the initial volume was recorded originally without subsequent levels of enumeration, and it is later necessary to update the latest volume held in the range when a subsequent volume is received, it sometimes happens that the subsequent volume is incomplete. Following ANSI/NISO, the enumeration and chronology for the initial volume would need to be revised to the level of detail used to record the latest volume, but to do so is often impractical. Staff are free to employ the following alternative procedure:

- a) leave the compressed range or initial volume already recorded as is, i.e., at first-level, and then
- b) record the incomplete volume in a subsequent 866 at the appropriate level of detail, using compression as appropriate. If the next volume is complete, record it in a separate 866 field. Punctuation (comma, semicolon) should be used at the end of the 866 fields only to indicate gaps or changes in enumeration.

In the following example the holdings are the same as the example cited from 8. above. Since there is no gap between v.1 and the first part of v.2, there is no comma at the end of 866 #1. But a comma is entered at the end of 866 #2 since there is a gap (v.2:no.4 not held) between the latest issue of v.2 and the first issue of v.3.

866	4	1	‡8 0 ‡a v.1(1976)
866	4	1	‡8 0 ‡a v.2:no.1(1976:winter)-v.2:no.3(1978:summer),
866	4	1	‡8 0 ‡a v.3(1978)-v.5(1980)

In the following example, there is a gap (t. 3, no 1 not held) between the last issue of t.2 and the earliest issue held of t.3, so a comma has been entered at the end of 866 #1. A comma is also entered at the end of 866 #2 since there is a gap (t.3 no 4 not held) between the latest issue of v.2 and the first issue of v.3.

866	4	1	#8 0 ‡a t.1(2000)-t.2.(2001),
866	4	1	#8 0 ‡a t.3:no 2(2002:printemps)-t.3:no 3(2002:e?te?),
866	4	1	‡8 0 ‡a t.4(2003)

2. Standards for use of textual enumeration in serial volume holdings. The document Multipart Monograph MFHD Guidelines [3], in 9-9, provides an example based on ANSI 5.5.2 to demonstrate use of a name instead of numerical enumeration to record volume holdings. Because serials may use dates as enumeration in the absence of numbers, the ANSI 5.5.2 situation described should be quite rare. However, there may be cases for serial volume holdings where text can function as enumeration. Following the standard, when a name is used to function as enumeration, it should be recorded in quotation marks.

If an inaugural issue lacks an enumerative designation 0, the subsequent issue has the enumerative designation 1, and the inaugural issue has the designation "Inaugural Issue" or the like, the quoted text may be used in the enumeration position in the volume holdings. Consider the change from the text designation to numerical designation as a numbering change, i.e. record the inaugural issue in a separate 866 ending with a semicolon.

uesigni	designation as a numbering change, i.e. record the madgaranssae in a separate doo ending with a semicolon.								
866	4	1	‡8 0 ‡a "Inaugural issue"(2000);						
866	4	1	‡8 0 ‡a v.1(2001)-v.2(2002)						
BUT (fir	BUT (first issue has "v.0"):								
866	4	1	‡8 0 ‡a v.0(2000);						
866	4	1	#8 0 ‡a v.1(2001)-v.2(2002)						
First iss	First issue only has date, no text that could substitute for numerical designation:								
866	4	1	‡8 0 ‡a 2000;						
866	4	1	‡8 0 ‡a v.1(2001)-v.2(2002)						

For situations where the volume number of the initial volume is implied by the later numbering, use brackets. See <a href="Enumeration">Enumeration</a> [4].

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### **Gap and Non-Gap Breaks**

If holdings are complete, one holdings field is used showing inclusive complete volumes.

Hο	Idinas	comr	lete:
110	iuii ius	COLLIN	лькь.

	866	4		‡8 0 ‡a v.1(1976)-v.20(1996)
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Use a **comma** (,) to indicate a gap in holdings. When a gap occurs, Yale practice is to enter a new 866 field, the equivalent of a "line break":

866	4	1	‡8 0 ‡a v.1:no.1(1982:Jan.)-v.6:no.2(1987:June),
866	4	1	‡8 0 ‡a v.6:no.4(1987:Oct.)-v.7:no.4(1988:Oct.)
866	4	1	‡8 0 ‡a v.1:no.1(1981:fall)-v.1:no.2(1981:winter),
866	4	1	‡8 0 ‡a v.2:no.3(1982:spring)-v.10:no.2(1989:winter),
866	4	1	‡8 0 ‡a v.11(1990/1992)

Use a **semicolon** (;) to indicate a change in enumeration (non-gap break). If a non-gap break occurs adjacent to a gap, a semicolon may be placed next to a comma. Yale practice is to enter a new 866 field when a non-gap break occurs.

866	4	1	‡8 0 ‡a v.1(1981)-v.2(1982);
866	4	1	‡8 0 ‡a new ser.:v.1(1983)-new ser.:v.7(1989);,
866	4	1	‡8 0 ‡a new ser.2:no.2(1991)-new ser.2:no.3(1993)

A semicolon may also be used to denote a gap when a volume was never published.

866	4	1	‡8 0 ‡a v.1(1981)-v.2(1982);
866	4	1	‡8 0 ‡a v.4:no.1(1984)

However, if it is possible to compress the holdings (i.e., in situations where a change in enumeration is not involved), the non-gap break is not accounted for. If, in the previous example, all issues for v. 4 were received, the holdings would be recorded as:

866	4	1	‡8 0 ‡a v.1(1981)-v.4(1984)
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CAUTION: the bibliographic record must indicate that the volume was never published.

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### **Enumeration**

**ENUMERATION.** Data indicating the sequential numeric and/or alphabetic designation used by the publisher of the serial to identify the individual bibliographic or physical parts and to show the relationship of each part to the bibliographic unit as a whole.

11a. Supplied enumeration. "If the bibliographic item does not carry enumeration, do not supply it." (p. 28) See 11g. for an exception.

11b. Record enumeration by giving the caption followed by the sequential designator (the number). If the enumeration on the item uses ordinal numbering, with the number preceding the caption, convert to cardinal numbering in order to place the caption first. (ANSI Option 5.5.4.3, p. 30)

Item in hand has designation: 3. Teil. Record in 866 as:

item in hand has designation. 5. Tell. Necord in 600 as.										
866	4	1	‡8 0 ‡a T.3	(2004)						
EXAMPLE	EXAMPLES:									
Band 5				becomes		Bd.5				
volume 16	3, no. 🤅	3		becomes		v.16:no.3				
third series				becomes		ser.3				
63				recorded as		63				
volume 63	3			recorded as		v.63				
11c. If the	parts	have	hierarchical	enumeration, recor	d the sublevels	Is following a colon when the situation warrant				
recording	of sub	levels	s. See also 8	3. Compression abo	ve.					
866	4	1	‡8 0 ‡a v.1:ı	no.1(2004:Jan.15/3	1)					
866	4	1	‡8 0 ‡a v.1:ı	no.1:pt.A(2005:jan.)						
11d. "Con	vert a	ll num	eric informa	tion to Arabic numb	ers" (p. 30).					
VII			b	ecomes		7				
sixth volume becomes v.6						v.6				
11e. "Rec	11e. "Record uppercase and lowercase alphabetic characters as they appear on the item" (p. 31):									
866	4	1	‡8 0 ‡a 23a	(2006:Apr.28)						
866	4	1	‡8 0 ‡a no.3	36B(2006:July/Dec.)						
866	4	1	‡8 0 ‡a v.B(	(2007:summer)						

Yale will apply the option to romanize nonroman alphabetic data. See 12b [5].

11f. "When a bibliographic item is used with combined numbering--not when bound together after receipt--separate the numbers by a forward slash." (p. 31). If a caption is used, record the caption for the first number only.

tile mumbe	JIS Dy	aion	ward slash. (p. 51). If a caption is used, record the caption for the hist number only.
866	4	1	#8 0 ‡a v.1/2(1985/1986)

11g. "When a part of an otherwise numbered unit lacks numeric or alphabetic designation, it may be supplied in square brackets" (p. 31):

#### Itemized form:

	4	1	‡8 0 ‡a [Bd.1](2002)			
866	4	1	‡8 0 ‡a Bd.2(2003)			
866	4	1	‡8 0 ‡a Bd.3(2004)			
Compressed form:						
866	4	1	‡8 0 ‡a [Bd.1](2002)-Bd.3(2004)			

11h. Record an incorrect numeric designation as found, with the correct form in square brackets preceded by "i.e.' (p. 31). In this example some itemization is used to avoid potential ambiguity.

866	4	1	#8 0 ‡a v.1(1988)-v.2(1989);	
866	4	1	#8 0 ‡a v.2 [i.e.3](1990);	Volume 3
				was
				incorrectly
				numbered
				by the
				publisher
866	4	1	#8 0 ‡a v.4(1991)-v.9(1996)	

11i. Series designators ("new series" and equivalent). Always record as first level enumeration whether unnumbered or not and always record the second level as well.

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11i:1. New series with numbering. Caption and numbering included as another element of the enumeration hierarchy:

866 4 1 #8 0 ‡a ser.1:v.1:no.1(2003)

11i:2. New series without numbering. Note that the series designator is still separated from the second level with a colon, even though the series designator is not numbered.

866 4 1 #8 0 ‡a n.F.:Bd.1(2003)

11j. REPEATING NUMBERS.

Per AACR2 12.3C4, "if the designation consists of a year and a number that is a division of the year, give the year before the number."

CONSER example (8.5.5):

On piece:

no. 1 1990 (no. 1 repeats each year)

#### In record:

362	0		‡a 1990, no. 1-		
MELID:					

MFHD:

Volume 1990 incomplete; volume 1991 complete:

866	4	1	‡8 0 ‡a 1990:no.1-1990:no.5,			
866	4	1	‡8 0 ‡a 1990:no.7-1991:no.8			
Volumes 1990 and 1991 complete (compressed):						

866 4 1 #8 0 ‡a 1990-1991

11k. ALTERNATIVE NUMBERING SCHEMES. "If there is a scheme of continuously incrementing issue numbers or other numbering schemes in addition to a regular scheme of enumeration, the alternative numbering scheme or schemes may be recorded, following the regular scheme of enumeration and separated by an equals sign." (ANSI p. 32)

Yale policy is generally to record the alternative numbering; Yale will adapt LCRI 12.3E to determine where the alternative numbering will be recorded in relation to the chronology. "If more than one numeric system is recorded, generally record the chronological system with the first numeric system (cf. rule 12.3C4)."

866	4	1	‡8 0 ‡a v.3:no.1(2003)=no.50
866	4	1	#8 0 #a v.1:pt.1(1893)-v.56:pt.2(1948)=no.1-no.666

CAUTION: When volume number and consecutive numbers appear together on the source, and the consecutive numbers are a subordinate level of designation, the consecutive number is recorded subordinately to the volume number; rather than as alternative numbering (cf. CONSER CATALOGING MANUAL 8.3.2c).

Bibliographic record has:

500	‡a Description based on: v.153:no.3873(1944)

In that case, record volume holdings as:

in that case, record volume holdings as:							
866	4	1	#8 0 ‡a v.153:no.3873(1944)-v.160:no.4071(1947)				

If the bibliographic record has no indication whether or not the consecutive numbers are to be recorded subordinately, record as alternative enumeration if the numbering is considered useful to record:

	caseramatery, recert de alternative enameration in the name of the considered deeral to recert.								
8	366	4	1	#8 0 ‡a v.153(1944)-v.160(1947)=no.3873-no.4071					

As a rule of thumb, record alternative numbering only if it is accounted for in the bibliographic record in field 362 or a 500 Description based on note. (But not field 515; see the last example.) For alternative numbering examples, see CONSER Cataloging Manual 8.5.4. However, if the alternative numbering is commonly used in citation practice, or if there is reason to believe patrons are otherwise likely to use the alternative numbering, or if the alternative numbering is useful for check-in, record the alternative numbering even if it is not recorded in 362 or 500. (An example is the journal Nature.)

If the original numerical designation is consecutive but a new designation, such as "new series" or the like, appears in addition to the consecutive numbering designation, the additional designation is generally recorded in a 515

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note; in that case, do not record the additional designation in 866. Cf. Liheng, Carol. Serials cataloging handbook.

Ziiu cu.	., p. 110	-11/	(1 12).
515			‡8 0 ‡a v.26-50 also called: ser. 2, v. 1-ser. 2, v. 25; v. 51-75 also called: ser. 3, v. 1-ser. 3.,
			v.25; v. 76-100 also called: ser. 4, v.1-ser. 4, v. 25.
866	4	1	‡8 0 ‡a v.1(1851)-v.124(1974)
NOT:	-		
866	4	1	‡8 0 ‡a v.1(1851)-v.25(1875);
866	4	1	#8 0 ‡a v.26(1876)-v.50(1900)=ser.2:v.1-ser.2:v.25;
866	4	1	‡8 0 ‡a v.51(1901)-v.75(1925)=ser.3:v.1-ser.3:v.25;
866	4	1	‡8 0 ‡a v.76(1926)-v.100(1950)=ser.4:v.1-ser.4:v.25;
866	4	1	#8 0 ‡a v.101(1951)-v.124(1974)

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### **Captions**

**Caption**. "A word, phrase, or abbreviation indicating the parts into which the publisher has divided the serial." Examples: "volume," "Band," "Teil," "part," "tome."

12a. ANSI Z39.71-1999. p. 29. Recording captions is optional. **Yale applies the option.** If parts of a unit do not have captions, captions are not supplied.

12b. "Transcribe captions associated with enumeration in the vernacular form appearing on the publication, transliterated when needed. Record captions in the singular. Optionally, captions may be translated. If the parts of a unit have no captions, do not supply captions." (p. 29)

Yale does not apply the translation option, i.e. if the caption is Bd., use Bd. as the caption rather than v.

**EXCEPTION:** East Asia and Near East cataloging translate captions instead of transliterating. Hebraica will use "v." if caption is lengthy and no abbreviation (see 12c.) is available.

12c. Per ANSI Z39.71-1999 (p. 30), if an AACR2 caption abbreviation is available, the abbreviation is used. ANSI option if no abbreviation in AACR2 is "other standard lists or ISO 832 rules." With Voyager Yale will now apply both the AACR2 and ISO abbreviations in MFHD. If the caption abbreviation is not listed in either AACR2 or the ISO list, it must be transcribed in full, unabbreviated form. Use of additional lists need to be documented; touch base with the Chief Cataloging Librarian. CAUTION: only AACR2 abbreviations may be used in the bibliographic record.

#### **AACR2 abbreviations [6]**

Combined AACR2 and ISO abbreviations are available on the Cornell Technical Services page:

#### Cornell AACR2/ISO caption abbreviation list [7]

Use lowercase for captions unless the language of the caption (e.g. German nouns) requires an uppercase first letter.

12d. If a symbol (e.g. #) is used as a caption and it has a recognized textual equivalent, record the textual equivalent [ANSI Z39.71-1999 (p. 30)]; use the AACR2 abbreviation if listed:

Caption on piece: #1. Record in 866 as:

866	4	1	‡8 0 ‡a no.1(2	2003)						
					_		_		_	_

12e. If enumeration follows an unabbreviated caption (i.e., no end punctuation), leave one space between the caption and the enumeration. (p. 30)

In the following example, there is no authorized abbreviation for Heft and it is transcribed as is. Since there is no

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end punctuation, a space is entered betwen Heft and 1.

0.10 P 0.110		.,	
866	4	1	#8 0 ‡a Heft 1(2000)

12f. ANSI: "Captions need not be repeated after the hyphen. However, captions may be repeated after the hyphen when needed for clarity."

Yale practice is to repeat the caption in all instances to promote standardization:

866	4	ŀ	1	8 0 ‡a Heft 1(2000)-Heft 2(2001)

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# Chronology

The holdings record incorporates all levels of chronology data (e.g., year, month, day) (RECON: that are recorded consistently on the card.)

If both enumeration and chronology are present, ANSI states that both may be recorded. Yale records both. Note also that, per ANSI, if only enumeration is present, only enumeration is recorded; chronology is not supplied. And, vice versa, if only chronology is present, record only chronology; do not supply enumeration.

However, if a serial normally carries chronology data, and such data is omitted from a specific piece, it may be supplied within brackets. (ANSI option followed by Yale)

**DATES (ANSI 5.5.5.2)** 

When more than one type of date is recorded, a single date is selected from the following preferred dates, in the order indicated:

Date of coverage

Date of publication

Date of copyright

Date of printing

The date of reprinting is not used in the holdings record because the chronology information used is that associated with the original work. Reprint information is properly a part of the bibliographic description of the work.

The format for the year includes all four digits, even if the item does not.

1993/1994 not 1993/94

If the century or decade is not known, the year is not recorded.

Months, seasons, and days are recorded in the vernacular form appearing on the publication and romanized, if necessary. Chronology data is abbreviated according to the appropriate portions of AACR 2 Appendix B. See:

- http://web.library.yale.edu/cataloging/AACR2-abbreviations [8]
- <a href="http://web.library.yale.edu/cataloging/months.htm">http://web.library.yale.edu/cataloging/months.htm</a> [9]

Day notations are not treated as a separate hierarchical level.

USE: (1968:June 12) NOT: (1968:June:12)

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Chronology data is recorded from highest hierarchical level to lowest; the colon is used to separate each level:

(1980:Jan.)

(1996:Oct.19:am) <daily newspaper w/morning & evening eds.>

Note that a blank space is used in chronology data to separate a month from a day if the month is not abbreviated. (See Section 7. <u>Punctuation</u> [10])

Use a forward slash if the chronology spans a non-calendar year or more than one year:

1989/1990 <either a non-calendar year or a biennial> 1990/1992 <triennial>

YUL practice: Although ANSI standard is to record non-Gregorian chronological data when it is the only scheme used, YUL East Asia and Near East teams convert the chronological data to Gregorian; dates are not bracketed; months and seasons are translated into English.

#### East Asia example:

245	0	0	‡6 880-01 ‡a Wen xue Taiwan.	
310			Quarterly	
362	0		‡6 880-04 ‡a no. 1(2002 chun ji)-	
366	4	1	‡8 0 ‡a no.1(2002:spring)-no.3(2002:fall)	

Hebraica: If only the non-Gregorian date appears on the source, Hebraica records the non-Gregorian date followed by the Gregorian *year* in brackets; Gregorian months and seasons are not recorded. If both the non-Gregorian and Gregorian dates appear on the source, only the Gregorian date (including transliterated months and seasons) is recorded.

Hebraica example (Gregorian date does not appear on the source):

245	0	0	‡a BDD : ‡b be-khol derakhekha da?ehu : ketav-?et le-?inyene Torah u-mada?.
362	0		‡a H?ov. 1 (755 [1994 or 1995])-
866	4	1	‡8 0 ‡a h?ov.1(755 [1994 or 1995])

NOTE: The earlier Gregorian date is recorded in the item record CHRON field to facilitate shelving; the non-Gregorian date is not recorded in CHRON.

Hebraica example (Gregorian date appears on the source):

245	0	0	‡a ?Emdah : ‡b bit?a?on le-sifrut.
362	0		‡a 1 (aviv 1995)-
866	4	1	#8 0 ‡a 4(1996:h?oref)-10(2002:kayits)

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### Microfilm, Reprints & Accompanying Material

14a. If a microform set is original, record holdings based on the bibliographic designation.

010	ta 93640490			
245	)		‡a Dun's business identification service ‡h [microform].	
260			‡a [Parsippany, N.J.] : ‡b D & B,	
300			‡a microfiches : ‡b negative	
310			‡a Semiannual	
500			ta Description based on: May 1984; title from eye-readable header.	
366	4	1	‡8 0 ‡a 1984:May-2003:May	

14b. If a microform set is a reproduction of a single title,

- record holdings based on the bibliographic designation in 866
- leave the number of physical parts (reels or microfiches) blank in field 533 if the set is ongoing; record the total number of physical parts if the set is complete

010	‡a sn 83010209						
245	0	0	‡a Dun's business month ‡h [microform].				
260			‡a New York, N.Y.: ‡b Dun & Bradstreet, ‡c c1981-				
300			‡a v. : ‡b ill. ; ‡c 28 cm.				
310			‡a Monthly				
362	0		‡a [Vol. 118, no. 3] (Sept. 1981)-				
533			‡a Microfiche. ‡b Ann Arbor, Mich. : ‡c University Microfilms International, ‡e microfiches. ‡f				
			(Publication 202)				
866	4	1	‡8 0 ‡a v.118(1981)-v.140(2003)				
14c. Re	eprints.	Reco	ord holdings based on the original <i>bibliographic</i> designation in 866.				
010			‡a sf 92090648 ‡z sn 90010223				
245	0	4	‡a The blue review.				
260			‡a London : ‡b Frank Cass and Co. Ltd., ‡c 1968.				
300	, , ,						
362							
580			‡a Originally published monthly: London : Martin Secker, 1913.				

14d. Generally do not record accompanying material in the MFHD. Instead, record accompanying material only in 300 \$e, in a note, or ignore in the bibliographic description, as appropriate. See CONSER Cataloging Guide, 11.4.3. "Once you have determined what you have in hand, decide how to describe it. As with the illustration statement, consider the entire serial. Will each issue be likely to contain such material or is this more likely a onetime occurrence? If the latter is true, a note in the record may be more appropriate (AACR2 12.5E1, 12.7B13) or the accompanying material may be ignored." (Advertising material might be an example of material to be ignored.)

In the following example, each issue is accompanied by an audio compact disc\*:

#8 0 #a v.1:no.1(1913:May)-v.1:no.3(1913:July)

866

\*(i.e., in the original CONSER example; LC later revised the record and recorded information about accompanying material in a note).

010			a 90649787				
245	0	0	Amadeus : ‡b il mensile della grande musica.				
260			Milano : ‡b De Agostini-Rizzoli periodici, ‡c [1989?-				
300			a v. : ‡b ill. (chiefly col.) ; ‡c 29 cm. + ‡e sound discs				
310			‡a Monthly				
362	0		Anno 1, n. 1 (dic. 1989)-				
866	4	1	‡8 0 ‡a anno 1(1989)-anno 2(1990)				

In the following example, some issues have accompanying material:

010			‡a 87656977				
245	0	0	a OCLC micro.				
260			‡a [Dublin, Ohio: ‡b OCLC Online Computer Library Center, ‡c c1985]-1992.				
300			8 v. : ‡b ill. ; ‡c 23 cm.				
310			Bimonthly				
362	0		a Vol. 1, no. 1 (Mar. 1985)-v. 8, no. 6 (Dec. 1992).				
500			Some issues accompanied by diskettes.				
866	4	1	#8 0 ‡a v.1(1985)-v.8(1992)				

14e. If considered to be important, accompanying material should be recorded in field 866 and not as a supplement in field 867. In such cases, accompanying material should be recorded as a "secondary bibliographic unit" in field 866 according to the scheme: <primary bibliographic unit> + <secondary bibliographic unit>. This procedure might be more appropriate for a rare, dead serial. It would be misleading to record only selected accompanying material in 866 for an ongoing serial.

866	4 1 #8 0 ‡a v.1(1989)-v.3(2002)		#8 0 ‡a v.1(1989)-v.3(2002)
866	66 4 1 ‡8 0 ‡a v.4(2003) + 1 globe		#8 0 ‡a v.4(2003) + 1 globe
866 4 1 ‡8 0 ‡a v.5(2004)-v.6(2005)		#8 0 ‡a v.5(2004)-v.6(2005)	

If the library decides to record the accompanying material for the Amadeus example in MFHD (based on ANSI

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1999 example 20, p. 43):

866	4	1	#8 0 ‡a v.1(1985)-v.8(1992)		
866	4	1	#8 0 ‡a + "musica" v.1(1985)-v.8(1992) <sound discs=""></sound>		

Note use of plus sign, line break, quotation marks, and angle brackets to identify the accompanying material.

If the accompanying material has been given a distinct name by the publisher, it is recorded in quotation marks; include a specific extent note in angle brackets if the nature of the accompanying material is not clear. Where the publisher has not assigned a distinct name, generally use a standard term from the appropriate AACR2 chapter without quotation marks.

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### **More Examples**

15.1. Preview issues (based on Cornell examples)

Premier	Premier issue is v. 1, no. 0							
866	4	1	‡8 0 ‡a v.1(1971)-v.15(1985)					
Premier	r issues	is v.0	no. 0					
866 4 1 ‡8 0 ‡a v.0(1971)-v.15(1985)								
Preview	v issue	withou	enumeration					
866	4	1	‡8 0 ‡a 1971:Sept.;					
866	4	1	‡8 0 ‡a v.1(1971)-v.15(1985)					
866	4	1	‡8 0 ‡a premier issue (1970);					
866	4	1	t8 0 ta v 1/1971)-v 15/1985)					

<sup>15.2.</sup> Issued in 2 parts; one issue is incomplete (Cornell example):

Annual composed of 2 parts, "Undergraduate degree programs" and "Graduate degree programs"

	_		
866	4	1	‡8 0 ‡a 1st ed.(1972)-7th ed.(1978),
866	4	1	#8 0 ‡a 8th ed.:graduate degree programs(1979)
866	4	1	#8 0 ‡a 9th ed.(1990)-18th ed.(1989)

15.3. RECON ONLY. If the original statement card recorded issues lacking/wanting:

866	4	1	‡8 0 ‡a v.1(1900)-v.12(1912)
866	4	1	‡8 0 ‡a v.13(1913) lacks no. 1,4,6,11
866	4	1	‡8 0 ‡a v.14(1914)
866	4	1	‡8 0 ‡a v.15(1915) lacks no. 1,6-10
866	4	1	‡8 0 ‡a v.16(1916) lacks no. 7,12
866	4	1	‡8 0 ‡a v.17(1917) lacks no. 3
866	4	1	‡8 0 ‡a v.18(1918)-v.24(1924)

15.4. RECON ONLY. If the statement card recorded issues held:

1011112	6. 1. NEGGIA GIAET: Il tilo diatomoni cara recorded lecado ficia.						
	4	1	‡8 0 ‡a v.1(1900)-v.12(1912)				
866	4	1	#8 0 ‡a v.13(1913) have no. 2-3,5,7-10,12				
866	4	1	‡8 0 ‡a v.14(1914)				
866	4	1	#8 0 ‡a v.15(1915) have no. 2-5,11-12				
866	4	1	‡8 0 ‡a v.16(1916) have no. 1-6,8-11				
866	4	1	#8 0 ‡a v.17(1917) have no. 1-2,4-12				
866	4	1	‡8 0 ‡a v.18(1918)-v.24(1924)				

15.5. Remote online resource:

Comment: volume holdings are not recorded for remote online resources in MFHD; 856 in bib record is used to hot-link to full text holdings supplied by vendors.

	an text freminge eapphea by ver	ndo! C!			
130		0	‡a Ad		
				Scandina	
245	‡a Acta anaesthesiologica S	candinavica ‡h [electronic resource].			

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I !	 	1
260	$\parallel$	‡a Copenhagen [etc.] : ‡b Munksgaard [etc.]
310		‡a Eight issues a year ‡b <may 1985-=""></may>
321	${f H}$	‡a Bimonthly
362	$\dagger \dagger$	‡a Coverage as of Sept. 11, 2000: vol. 43, issue 1 (Jan. 1999)-
	Ц	
500		‡a Description based on: Vol. 43, issue 1 (Jan. 1999); title from journal information screen (viewed Sept. 11, 2000).
506	${\mathbb H}$	‡a Subscription required for access to abstracts and full text.
		ta Subscription required for access to abstracts and full text.
		l ·
516	$\dag$	‡z Electronic journal articles are available in portable document format (PDF). Abstracts are in HTML format.
590		‡a Access is available to the Yale Community through use of a networked computer with a Yale IP address.
250	$\coprod$	
856		‡u <url> ‡y Full text</url>
	Щ	vuse the following for remote electronic resources:
( -' A D A	rali	v uco tha tallawing for ramata alactronic racaureac:

Generally use the following for remote electronic resources:

852 8 0 #b yulint ‡k None ‡h ‡z Online Resource

CAUTION. If the bib record is associated with an order record, the call number will display as "On order" unless ‡k None ‡h is entered.

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# **Supplements**

A supplement with an independent numbering scheme is considered a separate serial and described in a separate bibliographic record.

For a supplement described in a separate bibliographic record, the numbering scheme of the supplement is recorded in the holdings record for the supplement. For example, the library holds volumes 66-69 of Beiheft zur A for 1965-1968, which is represented by its own bibliographic record. Record holdings as:

866 4 1 #8 0 ‡a v.66(1965)-v.69(1968)

A supplement that is not described in a separate bibliographic record and not contained within a basic bibliographic

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unit (i.e., one of the segments of the publication sequence of a serial as designated by the publisher) is recorded in the holdings record in field 867 in the form:

<caption><vol. no.>:suppl.

EXAMPLE (item states: "Supplement to v.14, 1914 of The antiquarian"):

866	4	1	‡8 0 ‡a v.1(1900)-v.12(1912),
866	4	1	‡8 0 ‡a v.14(1914),
866	4	1	‡8 0 ‡a v.18(1918)-v.24(1924)
867	4	1	‡8 0 ‡a v.14:suppl.

For supplements with numbering related to a particular volume or issue of the parent serial, all hierarchical levels of the enumeration and chronology data appearing on the publication are recorded in the holdings record. In the following example, there exist multiple numbered and dated supplements to v.18; library has supplement 2.

867 4 1 #8 0 ‡a v.18:suppl.2(1918:June 1)

Supplement holdings are compressed if it is possible to do so. For example, if it is known that every volume is accompanied by supplements within a given range, compress as follows in the volume holding statement:

867 4 1 #8 0 #a v.31:suppl.-v.35:suppl.

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### **Indexes**

An index with an independent numbering scheme is considered a separate serial and described in a separate bibliographic record.

For indexes described in separate bibliographic records, the numbering scheme of the index is recorded in the holdings record for the index. For example, the library holds 1975-1977 of the Index of Title E where the Index is represented by its own bibliographic record. Record holdings as:

**866** 4 1 \$\psi 8 0 \pm a 1975-1977

An index that is not described in a separate bibliographic record but contained within a basic bibliographic unit (i.e., one of the segments of the publication sequence of a serial as designated by the publisher) is not recorded in the holdings record. The existence of such an index is usually noted in the bibliographic record.

An index that is not described in a separate bibliographic record and not contained within a basic bibliographic unit is recorded in the holdings record in field **868**. Generally, cumulative indexes are not compressed.

866	4	1	‡8 0 ‡a v.1(1900)-v.12(1912),
866	4	1	‡8 0 ‡a v.14(1914),
866	4	1	‡8 0 ‡a v.18(1918)-v.24(1924)
867	4	1	‡8 0 ‡a v.14:suppl
868	4	1	‡8 0 ‡a v.1/12(1900/1912)
868	4	1	‡8 0 ‡a v.13/24(1913/1924)

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### **Free Text Notes**

Generally limit free text staff and public notes to \$x and \$z respectively of field 852 rather than fields 866, 867, 868 unless they are issue specific.

Note that in the following example, the 866 is a receipt statement (blank indicators)

852	0	1	#b engnper ‡h Q1 ‡i N37 (LC)+ ‡m Oversize ‡z Latest issue on Display Shelves.
866			#8 0 ‡a v.417:no.6884(2002:May 2)
866	4	1	#8 0 ‡a v.252(1974)-v.416:no.6883(2002:May 1),
866	4	1	‡8 0 ‡a v.417:no.6886(2002:May 16)-v.420:no.6914(2002:Nov. 28)

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868	4	1	‡8 0 ‡a v.349/354(1991) ‡z bound with v.354(1991)
852	7	1	#b smly #h B44 #i R291 #z Current issues in SML Periodical Room. #z (Section 13) #2 localyale
852	7	1	‡b geoper ‡h Q2 ‡i N3+ ‡m Oversize ‡z Latest issue on Display Shelves. ‡z For current issues ask at service desk. ‡z For computer disks, ask at service desk.

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Source URL: https://web.library.vale.edu/cataloging/serialmfhd

#### Links

[1] http://web.library.yale.edu/cataloging/mfhdpolpro [2] http://web.library.yale.edu/cataloging/serialmfhd/microfilm

[3] https://web.library.yale.edu/cataloging/multipartmfhdrey [4]

http://web.library.yale.edu/cataloging/serialmfhd/enumeration [5]

http://web.library.vale.edu/cataloging/serialmfhd/captions [6]

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