

## Enumeration

**ENUMERATION.** Data indicating the sequential numeric and/or alphabetic designation used by the publisher of the serial to identify the individual bibliographic or physical parts and to show the relationship of each part to the bibliographic unit as a whole.

11a. Supplied enumeration. "If the bibliographic item does not carry enumeration, do not supply it." (p. 28) See 11g. for an exception.

11b. Record enumeration by giving the caption followed by the sequential designator (the number). If the enumeration on the item uses ordinal numbering, with the number preceding the caption, convert to cardinal numbering in order to place the caption first. (ANSI Option 5.5.4.3, p. 30)

Item in hand has designation: 3. Teil. Record in 866 as:

|     |   |   |                    |
|-----|---|---|--------------------|
| 866 | 4 | 1 | #8 0 #a T.3 (2004) |
|-----|---|---|--------------------|

EXAMPLES:

|                  |             |           |
|------------------|-------------|-----------|
| Band 5           | becomes     | Bd.5      |
| volume 16, no. 3 | becomes     | v.16:no.3 |
| third series     | becomes     | ser.3     |
| 63               | recorded as | 63        |
| volume 63        | recorded as | v.63      |

11c. If the parts have hierarchical enumeration, record the sublevels following a colon when the situation warrants recording of sublevels. See also 8. Compression above.

|     |   |   |                                  |
|-----|---|---|----------------------------------|
| 866 | 4 | 1 | #8 0 #a v.1:no.1(2004:Jan.15/31) |
| 866 | 4 | 1 | #8 0 #a v.1:no.1:pt.A(2005:jan.) |

11d. "Convert all numeric information to Arabic numbers" (p. 30).

|              |         |     |
|--------------|---------|-----|
| VII          | becomes | 7   |
| sixth volume | becomes | v.6 |

11e. "Record uppercase and lowercase alphabetic characters as they appear on the item" (p. 31):

|     |   |   |                                |
|-----|---|---|--------------------------------|
| 866 | 4 | 1 | #8 0 #a 23a(2006:Apr.28)       |
| 866 | 4 | 1 | #8 0 #a no.36B(2006:July/Dec.) |
| 866 | 4 | 1 | #8 0 #a v.B(2007:summer)       |

**Yale will apply the option to romanize nonroman alphabetic data.** See [12b](#) [1].

11f. "When a bibliographic item is used with combined numbering--not when bound together after receipt--separate the numbers by a forward slash." (p. 31). If a caption is used, record the caption for the first number only.

|     |   |   |                          |
|-----|---|---|--------------------------|
| 866 | 4 | 1 | #8 0 #a v.1/2(1985/1986) |
|-----|---|---|--------------------------|

11g. "When a part of an otherwise numbered unit lacks numeric or alphabetic designation, it may be supplied in square brackets" (p. 31):

Itemized form:

|     |   |   |                      |
|-----|---|---|----------------------|
| 866 | 4 | 1 | #8 0 #a [Bd.1](2002) |
| 866 | 4 | 1 | #8 0 #a Bd.2(2003)   |
| 866 | 4 | 1 | #8 0 #a Bd.3(2004)   |

Compressed form:

|     |   |   |                                 |
|-----|---|---|---------------------------------|
| 866 | 4 | 1 | #8 0 #a [Bd.1](2002)-Bd.3(2004) |
|-----|---|---|---------------------------------|

11h. Record an incorrect numeric designation as found, with the correct form in square brackets preceded by "i.e." (p. 31). In this example some itemization is used to avoid potential ambiguity.

|     |   |   |                              |  |
|-----|---|---|------------------------------|--|
| 866 | 4 | 1 | #8 0 #a v.1(1988)-v.2(1989); | Volume 3 was incorrectly numbered by the |
| 866 | 4 | 1 | #8 0 #a v.2 [i.e.3](1990);   |  |

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11i. Series designators ("new series" and equivalent). Always record as first level enumeration whether unnumbered or not and always record the second level as well.

11i:2. New series without numbering. Note that the series designator is still separated from the second level with a colon, even though the series designator is not numbered.

11j. REPEATING NUMBERS.

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500. (An example is the journal Nature.)

If the original numerical designation is consecutive but a new designation, such as "new series" or the like, appears in addition to the consecutive numbering designation, the additional designation is generally recorded in a 515 note; in that case, do not record the additional designation in 866. Cf. Liheng, Carol. *Serials cataloging handbook*. 2nd ed., p. 116-117 (F12).

|     |  |  |   |
|-----|--|--|---|
| 515 |  |  | #8 0 #a v.26-50 also called: ser. 2, v. 1-ser. 2, v. 25; v. 51-75 also called: ser. 3, v. 1-ser. 3, v.25; v. 76-100 also called: ser. 4, v.1-ser. 4, v. 25. |
|-----|--|--|---|

|     |   |   |                               |
|-----|---|---|-------------------------------|
| 866 | 4 | 1 | #8 0 #a v.1(1851)-v.124(1974) |
|-----|---|---|-------------------------------|

NOT:

|     |   |   |                               |
|-----|---|---|-------------------------------|
| 866 | 4 | 1 | #8 0 #a v.1(1851)-v.25(1875); |
|-----|---|---|-------------------------------|

|     |   |   |   |
|-----|---|---|---|
| 866 | 4 | 1 | #8 0 #a v.26(1876)-v.50(1900)=ser.2:v.1-ser.2:v.25; |
|-----|---|---|---|

|     |   |   |   |
|-----|---|---|---|
| 866 | 4 | 1 | #8 0 #a v.51(1901)-v.75(1925)=ser.3:v.1-ser.3:v.25; |
|-----|---|---|---|

|     |   |   |  |
|-----|---|---|--|
| 866 | 4 | 1 | #8 0 #a v.76(1926)-v.100(1950)=ser.4:v.1-ser.4:v.25; |
|-----|---|---|--|

|     |   |   |                                 |
|-----|---|---|---------------------------------|
| 866 | 4 | 1 | #8 0 #a v.101(1951)-v.124(1974) |
|-----|---|---|---------------------------------|

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### Links

[1] <http://web.library.yale.edu/cataloging/serialmfhd/captions>